



January 2004

Volume Five

Chapter Website:  
[www.isacacentralok.org](http://www.isacacentralok.org)

Articles Inside:

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5 Certified as CISM,  
Deadline Extended

Congratulations to the following chapter members who have received the CISM designation.

- Shirley Booker (Integris)
- Jerry Gardner (Integris)
- Larry Saxon (OG&E)
- Michael Kent Sewell (OU)
- Calvin Weeks (OU)

The deadline for grandfather CISM applications has been extended to January 14. Info at [www.isaca.org](http://www.isaca.org).



Membership Renewals

Invoices are out for 2004 ISACA membership. You can renew your membership and report your CPE hours online by logging on to [www.isaca.org](http://www.isaca.org) and going to your "My ISACA" page. Prompt payment of dues and any applicable certification maintenance fees is appreciated.

# IS Audit Controls

Information Systems Audit and Control Association (ISACA)  
Central Oklahoma Chapter Newsletter

## Legal Issues

Speaker: Scott Fern, OSU Associate General Counsel  
(See page 2 for speaker bio.)

### Joint Chapter Meeting with IIA Thursday, January 8, 2004

#### Ted's Café Escondido

2836 NW 68th Street (just off May Avenue), OKC

Lunch served at 11:30 a.m., meeting concludes by 1 p.m.

Please register online at [www.isacacentralok.org](http://www.isacacentralok.org) by Tuesday, Jan. 6. Select Event Registration and fill in the blanks!

If you encounter problems with online registration, you may RSVP to Jim Slezzer ([jhs8@okstate.edu](mailto:jhs8@okstate.edu), 405-744-4083).

Cost (this meeting only): Members: \$17, full time students: \$12; non-members: \$20.  
No shows will be billed!

## The Central Oklahoma Chapter is Online!

The Central Oklahoma Chapter is now online at [www.isacacentralok.org](http://www.isacacentralok.org). Tim Roberts has been working with the student team from the University of Oklahoma to assure that all components of the site are working properly. We expect to take meeting registrations online beginning with the Chapter's February meeting. Meanwhile, we encourage you to visit the site. If you encounter any problems, please contact Tim at [troberts@sai.state.ok.us](mailto:troberts@sai.state.ok.us).

## From the President

Happy New Year!

I hope everyone in our Central Oklahoma Region had a wonderful holiday season! I want to personally welcome each and everyone back for the 2004, year and hope all of you are doing very well. I recently read a quote in our latest Journal from ISACA and wanted to share it in our newsletter.

*"Science and technology multiply around us. To an increasing extent they dictate the languages in which we speak and think. Either we use those languages,*

*or we remain mute."* —J.G. Ballard

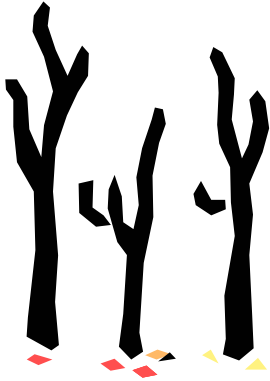
Unless we are equipped to speak the same technology language of those we audit meaning we know what we are talking about, a shaking of the head up and down really won't work. I encourage each of you to determine your training needs early this year. In addition, be looking for the Spring Seminar information coming soon from the Central Oklahoma Chapter.

A reminder as well if you would like to serve on the nominating

committee for the selection of officers or you have an interest in serving as an officer for the Central Oklahoma Chapter for 2004, please let me or let one of the other officers know.

Hope to see all of you at our joint ISACA and IIA meeting, January 8, 2004 at Ted's. It should be a great time of our two organizations coming together. See you there!

Sharon Nichols, President  
Central Oklahoma Chapter



## January Speaker Bio: Scott Fern

### Chapter Mission Statement

“To provide our chapter members with opportunities for education, resource sharing, advocacy, and professional networking as well as to promote and advance the profession's ethics, responsibilities, and expectations for the work of our practitioners as a service to our communities.”

—Adopted by the Central Oklahoma Chapter, October 2, 2003

Michael “Scott” Fern has served as associate general counsel to the Board of Regents for Oklahoma State University and the Agricultural and Mechanical Colleges since 1990.

Mr. Fern served as an Assistant Attorney General for the State of Oklahoma from

1981 through 1989 and during that time focused on representing state employees and officers who were sued in court. As an assistant attorney general, Mr. Fern served for three years as legal counsel to the Oklahoma State Regents for Higher Education. He served as general counsel to the Board

of Regents for the Oklahoma Colleges from 1989 through 1990.

Mr. Fern has made presentations at legal seminars sponsored by the National Association for College and University Attorneys, and others, since 1983.

#### Planning Ahead...

**February 5**  
Chapter Meeting  
Olive Garden on  
Northwest Expressway

**Speaker and Topic to  
be announced**

**January 13**  
Tulsa Chapter Meeting  
“Wireless Technology”  
Contact Chris Fields at  
[Fieldsmgmt@aol.com](mailto:Fieldsmgmt@aol.com)  
for info and  
registration.

**May 9-13**  
North America CACS  
Chicago Hilton &  
Towers

### Write an Article, Find a Colleague!

Do you want to find a former colleague who has moved to another part of the country? Try writing an article for the *Information Systems Control Journal*. Publishing an article in the *Journal* offers several benefits including placing your name in front of your peers, establishing you as an expert in a technical area of IS audit and control, and enabling you to exchange ideas with your colleagues. Old friends will see your article and drop you a note of congratulations!

Articles should address new developments in the field or in-depth technically oriented subjects. They should have broad appeal and focus on practical matters. Skip the purely theoretical material!

Topics and deadlines for submissions are shown below. For additional information, see the publication schedule at [www.isasa.org/journal](http://www.isasa.org/journal) or contact Jan Blader ([jblader@isaca.org](mailto:jblader@isaca.org)).

- Wireless and Telecom Worldwide, May/Jun., articles due Feb. 12.
- Security and Privacy Worldwide, Jul./Aug., articles due Apr. 15.
- Outsourcing, Sep./Oct., articles due Jun. 15.
- Audit Education, Nov./Dec., articles due Aug. 13.

### Central Oklahoma Chapter 2003-04 Meeting Schedule

January 8, 2004 (with IIA)  
March 4, 2004  
May 6, 2004

February 5, 2004  
April 1, 2004  
September 2, 2004

Meetings are normally the first Thursday of each month, September through May.

## ISACA Certifications Make Certification Magazine's Top Ten Lists

*Certification Magazine* has recognized ISACA as one of the top ten certification program providers in three different categories.

In the top ten *Best Vendor Neutral Credentials* category, the article said "It's (ISACA's)

credentials are highly regarded for their even and open-handed approach to tools, technologies, policies, principles and practices."

ISACA's Certified Information Security Manager™ (CISM) designation, introduced in Aug-

ust 2002, was among the top new programs.

ISACA's Certified Information Systems Auditor™ (CISA®) credential, listed in the top ten *Best Specialty Certifications*, was cited as "One of the most popular and respected

credentials in the increasingly important system audit area."

For those interested in more details, the article is available online at Certification Magazine's site at [www.certmag.com](http://www.certmag.com).

## Books & Tech References at ISACA Bookstore & in Chapter Library

The ISACA Bookstore is a good source for quality books and technical reference materials. The Central Oklahoma Chapter also maintains a library of selected ISACA publications. Check with Jim Sleezer ([jhs8@okstate.edu](mailto:jhs8@okstate.edu)) if you would like to borrow a publication from the Chapter.

The *ISACA Bookstore Catalog 2004* was mailed with Vol. 6 of the *Information Systems Control Journal*. With this new expanded catalog, you can choose the books you need to stay informed in the IS audit, control and security fields. All the titles have been peer reviewed, ensuring a high-quality, reliable source of material.

When reviewing the catalog be sure to look at the research from the IT Governance Institute® (ITGI) such as:

- COBIT Online™
- COBIT Quickstart™
- COBIT® 3rd Edition®
- *Security, Audit, Control Features SAP® R/3*
- *Security, Audit and Control Features Oracle® Environment*
- *IT Governance Implementation Guide*
- *OS/390-z/OS Security, Audit and Control Features*
- *Enterprise Risk, Control and Security Considerations—Identity Management*
- *Risks of Customer Relationship Management*
- *Security Provisioning*
- *Virtual Private Networks*
- *e-Commerce Security Series*—a series of six books, a joint

development between the ITGI and Deloitte

In addition, the **Bookstore** is the source for revised 2004 CISA and CISM review material for exam preparation and professional development.

### CISA Examination Reference Material

(Available now)

- *CISA Review Manual 2004*
- *CISA Review Questions, Answers and Explanations Manual CD-ROM 2004*
- *CISA Review Questions, Answers and Explanations Manual 2004 Supplement*
- *CISA Review Questions, Answers and Explanations Manual 2003*
- *CISA Review Questions, Answers and Explanations Manual 2003 Supplement*

### CISM Examination Reference Material

(Available in January)

- *Certified Information Security Manager (CISM) Review Manual 2004*
- *CISM Review Questions, Answers and Explanations Manual 2004*

You are encouraged to browse the catalog, visit the web site and take advantage of secure online ordering. Contact [bookstore@isaca.org](mailto:bookstore@isaca.org) or phone +1.847.253.1545, ext. 401, for any questions concerning the new ISACA Bookstore catalog or ISACA Bookstore.

## Central Oklahoma Chapter Officers

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## Continuing Professional Education Requirements for CISAs

CISAs earn continuing professional education (CPE) credit for personal professional development activities and for service to the profession such as presenting training, publishing articles, writing exam questions, and participating on chapter boards or ISACA committees. CISM requirements are similar.

Activities that qualify for continuing professional education include technical and managerial training. This training must be directly applicable to the assessment of information systems or the improvement of audit, control, security or managerial skills to ensure a proper balance of professional development is attained. Continuing professional education hours are not accepted for on-the-job activities unless they fall into a specific qualifying professional education activity. Specific activities have annual continuing professional education hour limits. The following categories of qualifying activities and limits have been approved by the Board and are acceptable for continuing professional education.

### Personal Professional Development

- ISACA professional education activities and meetings (no limit): These activities include ISACA conferences, seminars, workshops, chapter programs and meetings and related activities. CISAs earn continuing professional education hours according to the number of hours of active participation. However, participation in ISACA chapter meetings will earn a minimum of one credit hour regardless of actual duration.
- Non-ISACA professional education activities and meetings (no limit): These activities include in-house corporate training, university courses, conferences, seminars, workshops, and professional meetings and related activities not sponsored by ISACA. In addition, continuing professional education hours can be earned from certification review courses if such courses advance the CISA's IS audit, control and security or managerial knowledge or skills. CISAs earn continuing professional education hours according to the number of hours of active participation. However, successfully completed university courses earn 15 continuing professional education hours per semester credit hour and 10 continuing professional education hours per quarter credit hour (Semester = 15 weeks of class; quarter = 10 weeks of class).
- Self-study courses (no limit): These activities include structured courses designed for self-study that offer continuing professional education hours. These courses will only be accepted if the course provider issues a certificate of completion and the certificate contains the number of CPE hours earned for the course.

- Vendor sales/marketing presentations (10-hour annual limitation): These activities include vendor product or system specific sales presentations.

### Contributions to the Profession

- Teaching/lecturing/presenting (no limit): These activities include the development and delivery of professional educational presentations. Continuing professional education hours are earned at twice the presentation time for the first delivery (e.g.: two hour presentation earns four continuing professional education hours) and at the actual presentation time for the second delivery. Continuing professional education hours cannot be earned for subsequent presentations of the same material unless the content is substantially modified.
- Publication of articles, monographs and books (no limit): These activities include the publication or review of material (either hard copy or online) directly related to the information systems audit and control profession. Submissions must appear in a formal publication or website and a copy of the article or the website address must be available, if requested. For books and monographs, the table of contents and title page must be available. Continuing professional education hours are earned for the actual number of hours taken to complete or review the material.
- Exam question development and review (no limit): This activity pertains to the development or review of CISA or CISM (Certified Information Security Manager) examination or review materials. One continuing professional education hour is earned for each question accepted by an ISACA board or committee. Actual hours will be given for the formal item review process.
- Passing related professional examinations (no limit): This activity pertains to the pursuit of other professional examinations. One continuing professional education hour is earned for each examination hour when a passing score is achieved.
- ISACA, Information Systems Audit and Control Foundation (ISACF) and Information Technology Governance Institute (ITGI) Board/Committee work (10-hour annual limitation): These activities include active participation on an ISACA/F board, committee, task force or active participation as an officer of an ISACA chapter. One continuing professional education hour is earned for each hour of active participation.
- Contributions to the IS audit and control profession (10-hour annual limitation): These activities include work performed for ISACA that contributes to the IS audit and control profession (i.e. research development, certification review manual development, K-Net development).

## 2004 CISA, CISM Exam Registration

February 4 is the early signup deadline for the 2004 CISA and CISM exams. While exam prices have increased slightly for 2004, candidates who register and **pay online** will pay at 2003 price levels. There is a link to registration at [www.isaca.org](http://www.isaca.org)